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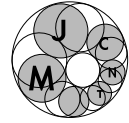


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# On the irrationality measure of certain numbers

Alexandr Polyanskii (Moscow)

**Abstract:** The paper presents upper estimates for the irrationality measure and the non-quadraticity measure for the numbers

$$\alpha_k = \sqrt{2k+1} \ln \frac{\sqrt{2k+1}-1}{\sqrt{2k+1}+1}, \quad k \in \mathbb{N}.$$

**Keywords:** irrationality measure, non-quadraticity measure

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For any irrational  $\alpha$ , the irrationality measure can be defined as the least upper bound on the numbers  $\kappa$  such that the inequality

$$\left| \alpha - \frac{p}{q} \right| < q^{-\kappa}$$

has infinitely many rational solutions  $p/q$ . The irrationality measure is denoted as  $\mu(\alpha)$ .

The non-quadraticity measure can be defined for any real  $\alpha$  which isn't a root of a quadratic equation as the least upper bound on the numbers  $\kappa$  such that the inequality

$$|\alpha - \beta| < H^{-\kappa}(\beta)$$

has infinitely many rational solutions in quadratic irrationalities  $\beta$ . Here  $H(\beta)$  is the height of the characteristic polynomial of  $\beta$  (taking an irreducible integer polynomial

with one of the roots equal to  $\beta$ ,  $H$  the largest absolute value of this polynomial's coefficients). The non-quadraticity measure is denoted as  $\mu_2(\alpha)$ .

We are going to preset improved bounds on the irrationality measure and the non-quadraticity measure for the numbers

$$\alpha_k = \sqrt{2k+1} \ln \frac{\sqrt{2k+1}-1}{\sqrt{2k+1}+1}, \quad \text{where } k \in \mathbb{N}. \tag{1}$$

This paper is, in a sense, a continuation of the paper [2] by A. Bashmakova: the same integral is considered, but the denominator is estimated more accurately by using a certain coefficient symmetry. Some earlier estimates for the irrationality measure of the numbers investigated by the author have been obtained by A. Heimonen, T. Matala-Aho, and K. Väänänen [6], M. Hata [3], G. Rhin [8], E. Salnikova [9], M. Bashmakova [2], [1].

Some of the numerical results obtained in this paper have been summarized in the table below:

k	$\mu(\alpha_k) \leq$	$\mu_2(\alpha_k) \leq$	k	$\mu(\alpha_k) \leq$	$\mu_2(\alpha_k) \leq$	k	$\mu(\alpha_k) \leq$	$\mu_2(\alpha_k) \leq$
3	6.64610...	—	7	5.45248...	—	10	3.45356...	10.0339...
5	5.82337...	—	8	3.47834...	10.9056...	11	5.08120...	—
6	3.51433...	12.4084...	9	5.23162...	—	12	3.43506...	9.46081...

Let  $n$  be an odd positive integer, and let  $a, b$  be fixed positive integers (where  $b$  is odd) such that  $b > 4a$ . Define a polynomial as follows:

$$\begin{aligned} A(x) &= \binom{x+(b-2a)n}{(b-4a)n} \binom{x+(b-a)n}{(b-2a)n} \binom{x+bn}{bn} = \\ &= \frac{(x+2an+1) \dots (x+(b-2a)n)}{((b-4a)n)!} \times \\ &\times \frac{(x+an+1) \dots (x+(b-a)n)}{((b-2a)n)!} \cdot \frac{(x+1) \dots (x+bn)}{(bn)!}. \end{aligned}$$

Consider an integral

$$I(z) = \frac{z^{-(bn+1)/2}}{2\pi i} \int_L A(\zeta) \left( \frac{\pi}{\sin \pi \zeta} \right)^3 (-z)^{-\zeta} d\zeta,$$

where  $z \neq 0$ , the vertical line  $L$  is given by the equation  $\Re \zeta = C$ , where  $-(b-2a)n < C < -2an - 1$ , and this line is traversed from bottom to top. We also suppose that  $(-z)^{-\zeta} = e^{-\zeta \ln(-z)}$ , where the branch of the logarithm  $\ln(-z) = \ln|z| + i \arg z + i\pi$  is chosen so that  $|\arg z| < \pi$ .

STATEMENT 1. For all  $z \in \mathbb{C}$  such that  $0 < |z| < 1$  we have

$$I(z) = -\frac{1}{2}U(z) \ln^2 z + V(z) \ln z - \frac{1}{2}W(z) - i\pi(U(z) \ln z - V(z)),$$

where the functions  $U(z), V(z), W(z) \in \mathbb{Q}(z)$  are defined for  $|z| < 1$  by the following equations:

$$\begin{aligned} U(z) &= -z^{-(bn+1)/2} \sum_{k=bn+1}^{\infty} A(-k)z^k, \\ V(z) &= -z^{-(bn+1)/2} \sum_{k=(b-a)n+1}^{\infty} A'(-k)z^k, \\ W(z) &= -z^{-(bn+1)/2} \sum_{k=(b-2a)n+1}^{\infty} A''(-k)z^k. \end{aligned} \quad (2)$$

The proof of this statement (in a somewhat different form) has been given by Yuri Nesterenko [7]. He has also proved the following lemma (see Lemma 1 in [7]).

LEMMA 1. Let  $P(x) \in \mathbb{C}[x]$  be a polynomial of degree  $d$ . Then for all  $z, |z| < 1$ , we have

$$-\sum_{k=1}^{\infty} P(-k)z^k = \sum_{j=0}^d c_j \left( \frac{z}{z-1} \right)^{j+1},$$

where

$$c_j = \sum_{k=1}^{k=j+1} (-1)^{k-1} P(-k) \binom{j}{k-1}.$$

It has also been shown (see Statement 2 and Lemma 1 in [2]) that

$$\begin{aligned} U(z) = U\left(\frac{1}{z}\right) &= \widehat{U}\left(z + \frac{1}{z}\right), & V(z) = -V\left(\frac{1}{z}\right) &= \left(z - \frac{1}{z}\right) \widehat{V}\left(z + \frac{1}{z}\right), \\ W(z) = W\left(\frac{1}{z}\right) &= \widehat{W}\left(z + \frac{1}{z}\right), \end{aligned} \quad (3)$$

where

$$\widehat{U}(z), \widehat{V}(z), \widehat{W}(z) \in \mathbb{Q}(z).$$

If the number  $x$  satisfies  $x + 1/x \in \mathbb{Q}$ , then we obtain

$$U(x), \frac{V(x)}{x - \frac{1}{x}}, W(x) \in \mathbb{Q}.$$

Now consider the values of the integral at the following points:

$$x_k = \frac{k+1 - \sqrt{2k+1}}{k} = \frac{\sqrt{2k+1} - 1}{\sqrt{2k+1} + 1}, \quad \text{where } k \in \mathbb{N}. \quad (4)$$

In this case we have

$$x_k + \frac{1}{x_k} = \frac{2k+2}{k} \quad \text{and} \quad x_k - \frac{1}{x_k} = -2 \frac{\sqrt{2k+1}}{k}.$$

This easily leads to

$$U(x_k), \sqrt{2k+1}V(x_k), W(x_k) \in \mathbb{Q}. \quad (5)$$

Let us define  $\Omega$  as the set of  $0 \leq y < 1$  such that for all  $x \in \mathbb{R}$  the inequality

$$\begin{aligned} & ([x - 2ay] - [x - (b - 2a)y] - [(b - 4a)y]) + \\ & + ([x - ay] - [x - (b - a)y] - [(b - 2a)y]) + ([x] - [x - by] - [by]) \geq 1 \end{aligned} \quad (6)$$

is satisfied.

The set  $\Omega$  is a union of points, as well as closed, half-open and open intervals. Clearly, the points of the interval  $[0; 1/b)$  do not belong to  $\Omega$ , and thus the set of primes  $p > \sqrt{bn}$  such that  $\{n/p\} \in \Omega$  is finite. Denote the product of these primes as  $\Delta$ , and denote as  $\Delta_1$  the product of the primes  $p > (b - 2a)n$  satisfying  $\{n/p\} \in \Omega$ .

Let  $d_n$  be the least common multiple of  $1, 2, \dots, n$ .

Let us introduce the following rational numbers:

$$R_{k,n} = \begin{cases} m^{-(bn+1)/2}, & \text{if } k = 2m; \\ 2^{[3(b-2a)n+1]/2} k^{-(bn+1)/2}, & \text{if } k = 2m - 1. \end{cases}$$

$$R'_{k,n} = \begin{cases} m^{-[(b-2a)n+1]/2}, & \text{if } k = 2m; \\ 2^{[3(b-2a)n+1]/2} k^{-[(b-2a)n+1]/2}, & \text{if } k = 2m - 1. \end{cases}$$

$$R''_{k,n} = \begin{cases} m^{-[(b-4a)n+1]/2}, & \text{if } k = 2m; \\ 2^{[3(b-2a)n+1]/2} k^{-[(b-4a)n+1]/2}, & \text{if } k = 2m - 1. \end{cases}$$

LEMMA 2. *Let  $x_k$  be defined by (4), then we have*

$$A = R_{k,n} U(x_k) \in \mathbb{Z},$$

$$B = R'_{k,n} \frac{d_{bn}}{\Delta} V(x_k) \sqrt{2k+1} \in \mathbb{Z}, \quad C = R''_{k,n} d_{(b-2a)n} \Delta_1 \frac{d_{bn}}{\Delta} W(x_k) \in \mathbb{Z}.$$

PROOF. Let us prove the equality for  $B$ . The equalities for  $A$  and  $C$  can be proved by the same argument.

It suffices to show that  $B^2 \in \mathbb{K}$ , where  $\mathbb{K}$  is the ring of algebraic integers, which would imply that  $B$  is also an algebraic integer. Then from the property (5) we could say that  $B \in \mathbb{Q}$ , and consequently  $B \in \mathbb{Z}$ .

Let  $A_1(z)$  be a polynomial of degree  $3(b-2a)n$  such that  $A_1(z) = A(z-an)$ . Then it's easy to see that

$$A'_1(-1) = \dots = A'_1(-(b-2a)n) = A'_1(-an-1) = \dots = A'_1(-(b-a)n) = 0. \quad (7)$$

Let us rewrite (2). Defining  $l$  as  $l = k - an$  and applying (7), we obtain

$$\begin{aligned} V(z) &= -z^{-(bn+1)/2} \sum_{k=(b-a)n+1}^{+\infty} A'(-k) z^k = \\ &= -z^{-(bn+1)/2} \sum_{k=an+1}^{+\infty} A'(-k) z^k = -z^{-(bn+1)/2+an} \sum_{k=an+1}^{+\infty} A'(-k) z^{k-an} = \\ &= -z^{-(bn+1)/2+an} \sum_{l=1}^{+\infty} A'(-l-an) z^l = -z^{-(bn+1)/2+an} \sum_{l=1}^{+\infty} A'_1(-l) z^l. \end{aligned}$$

Then by Lemma 1 we have

$$V(z) = z^{-(bn+1)/2+an} \sum_{j=0}^{3(b-2a)n-1} b_j \left( \frac{z}{z-1} \right)^{j+1},$$

where

$$b_j = \sum_{k=1}^{j+1} (-1)^{k-1} A'_1(-k) \binom{j}{k-1}.$$

Lemma 4 of [7] states that

$$\frac{d_{bn}}{\Delta} A'_1(-k) \in \mathbb{Z}, \quad \text{where } 1 \leq k \leq 3(b-2a)n, \quad \text{i. e. } \frac{d_{bn}}{\Delta} b_j \in \mathbb{Z}.$$

From (7) it follows that

$$\begin{aligned} V(z) &= z^{-(bn+1)/2+an} \sum_{j=(b-2a)n}^{3(b-2a)n-1} b_j \left( \frac{z}{z-1} \right)^{j+1} = \\ &= z^{-(bn+1)/2+an} \left( \frac{z}{z-1} \right)^{(b-2a)n+1} \sum_{j=(b-2a)n}^{3(b-2a)n-1} b_j \left( \frac{z}{z-1} \right)^{j-(b-2a)n}. \end{aligned}$$

It is true that

$$\frac{x_k}{x_k - 1} = \frac{1 - \sqrt{2k+1}}{2} = t_1 \quad \text{and} \quad \frac{1}{1 - x_k} = \frac{1 + \sqrt{2k+1}}{2} = t_2$$

are the solutions of the equation  $t^2 - t - k/2 = 0$ . Clearly, they must be algebraic numbers.

Applying (3) yields that

$$\begin{aligned} -(V(x_k))^2 &= V(x_k) V\left(\frac{1}{x_k}\right) = \\ &= (t_1 t_2)^{(b-2a)n+1} \sum_{j=(b-2a)n}^{3(b-2a)n-1} b_j t_1^{j-(b-2a)n} \sum_{j=(b-2a)n}^{3(b-2a)n-1} b_j t_2^{j-(b-2a)n} = \\ &= \left(\frac{k}{2}\right)^{(b-2a)n+1} \sum_{j=(b-2a)n}^{3(b-2a)n-1} b_j t_1^{j-(b-2a)n} \sum_{j=(b-2a)n}^{3(b-2a)n-1} b_j t_2^{j-(b-2a)n}. \quad (8) \end{aligned}$$

If  $k$  is even, then for all positive integers  $N$  we have  $t_i^N \in \mathbb{K}$  since  $t_i \in \mathbb{K}$

If  $k$  is odd, then for all positive integers  $N$  we can write  $2^{(N+1)/2} t_i^N \in \mathbb{K}$  since

$$(t_i)^{2N} = \left( \frac{k+1 \pm \sqrt{2k+1}}{2} \right)^N$$

and

$$(t_i)^{2N+1} = \frac{1 \pm \sqrt{2k+1}}{2} \left( \frac{k+1 \pm \sqrt{2k+1}}{2} \right)^N.$$

Let us consider the following two cases:

**Case 1.**  $k = 2m$ . Then by (8) we have

$$\begin{aligned} B^2 &= - \left( \sum_{j=(b-2a)n}^{3(b-2a)n-1} \left( \frac{d_{bn}}{\Delta} b_j \right) t_1^{j-(b-2a)n} \right) \times \\ &\times \left( \sum_{j=(b-2a)n}^{3(b-2a)n-1} \left( \frac{d_{bn}}{\Delta} b_j \right) t_2^{j-(b-2a)n} \right) (2k+1) \in \mathbb{K}. \end{aligned}$$

**Case 2.**  $k = 2m - 1$ . Then (8) yields that

$$\begin{aligned} B^2 &= - \left( \sum_{j=(b-2a)n}^{3(b-2a)n-1} \left( \frac{d_{bn}}{\Delta} b_j \right) 2^{(b-2a)n} t_1^{j-(b-2a)n} \right) \times \\ &\times \left( \sum_{j=(b-2a)n}^{3(b-2a)n-1} \left( \frac{d_{bn}}{\Delta} b_j \right) 2^{(b-2a)n} t_2^{j-(b-2a)n} \right) (2k+1) \in \mathbb{K}. \end{aligned}$$

This concludes the proof of the lemma. □

We proceed by formulating several known results, which have been stated in [2] as Lemmas 5 and 6.

STATEMENT 2. Let  $x \in \mathbb{R}$ ,  $0 < x < 1$ . If the equation

$$\frac{z(z-a)(z-2a)}{(z-(b-2a))(z-(b-a))(z-b)} = \frac{1}{x}$$

has a unique solution  $z_0 > b$ , then

$$\begin{aligned} \lim_{n \rightarrow +\infty} \frac{1}{n} \ln |U(x)| &= M = \\ &= \ln \left( \frac{(z_0 - (b - 2a))^{b-2a} (z_0 - (b - a))^{b-a} (z_0 - b)^b}{(z_0 - 2a)^{2a} (z_0 - a)^a (b - 4a)^{b-4a} (b - 2a)^{b-2a} b^b} \right) - \frac{b}{2} \ln x. \end{aligned}$$

STATEMENT 3. Denote

$$M_1 = \ln \frac{|z_1 + (b - 2a)|^{b-2a} |z_1 + (b - a)|^{b-a} |z_1 + b|^b}{|z_1 + 2a|^{2a} |z_1 + a|^a (b - 4a)^{b-4a} (b - 2a)^{b-2a} b^b} - \frac{b}{2} \ln x,$$

where  $z_1$  is the complex root of the equation

$$\frac{(z + 2a)(z + a)z}{(z + (b - 2a))(z + (b - a))(z + b)} = \frac{1}{x}$$

satisfying the condition  $\Im z_1 > 0$ . Then we have

$$\limsup_{n \rightarrow +\infty} \frac{1}{n} \ln |I(x)| \leq M_1.$$

To compute

$$M_0 = \lim_{n \rightarrow \infty} \frac{1}{n} \ln \frac{d_{bn}}{\Delta} \quad \text{and} \quad M'_0 = \lim_{n \rightarrow \infty} \frac{1}{n} \ln d_{(b-2a)n} \Delta_1 \frac{d_{bn}}{\Delta}, \quad (9)$$

we are going to use Lemma 6 from [7].

LEMMA 3. Let  $u, v$  be real numbers such that  $0 < u < v < 1$ . Then

$$\lim_{n \rightarrow \infty} \frac{1}{n} \sum_{u \leq \{n/p\} < v} \ln p = \psi(v) - \psi(u),$$

where  $\psi(x) = \Gamma'(x)/\Gamma(x)$  is the logarithmic derivative of the gamma function, and the sum is taken over all primes  $p$  such that the fractional part  $\{n/p\}$  lies in the given range.

Let us formulate a lemma by Hata (see [4], Lemma 2.1), which will allow us to prove the principal theorem of this paper.

LEMMA 4. Let  $n \in \mathbb{N}$ ,  $\alpha \in \mathbb{R}$ , let  $\alpha$  be an irrational number, and let  $l_n = q_n\alpha + p_n$ , where  $q_n, p_n \in \mathbb{Z}$  and

$$\lim_{n \rightarrow \infty} \frac{1}{n} \ln |q_n| = \sigma, \quad \limsup_{n \rightarrow \infty} \frac{1}{n} \ln |l_n| \leq -\tau, \quad \sigma, \tau > 0.$$

Then

$$\mu(\alpha) \leq 1 + \frac{\sigma}{\tau}.$$

Now we can state the principal theorem, which will be proved by applying the Hata’s lemma to the sequence

$$\begin{aligned} L_n &= R'_{k,n} \frac{d_{bn}}{\Delta} \left( -\frac{Im(I(x_k))}{\pi} \sqrt{2k+1} \right) = \\ &= R'_{k,n} \frac{d_{bn}}{\Delta} U(x_k) \alpha_k - R'_{k,n} \frac{d_{bn}}{\Delta} V(x_k) \sqrt{2k+1} = P_n \alpha_k + Q_n. \end{aligned}$$

By Lemma 2, we have  $P_n, Q_n \in \mathbb{Z}$ . Clearly, we can also write

$$c_0 = \lim_{n \rightarrow \infty} \frac{1}{n} \ln(R'_{k,n}) = \begin{cases} -\frac{b-2a}{2} \ln m, & \text{if } k = 2m; \\ -\frac{b-2a}{2} \ln k + \frac{3(b-2a)}{2} \ln 2, & \text{if } k = 2m-1. \end{cases}$$

THEOREM 1. Assume that  $a, b \in \mathbb{N}$  satisfy  $b > 4a$ ,  $x$  is defined by (4), the numbers  $M$  and  $M_1$  are defined by Statements 2 and 3, the set  $\Omega$  is defined by (6), and  $M_0$  is defined by (9).

If  $M_1 + c_0 + M_0 < 0$ , we have

$$\mu(\alpha_k) \leq 1 - \frac{M + c_0 + M_0}{M_1 + c_0 + M_0}.$$

We are going to formulate another lemma by Hata (see [5], Lemma 2.3), which will allow us to prove another theorem.

LEMMA 5. Let  $n \in \mathbb{N}$ , and assume that  $\alpha \in \mathbb{R}$  is not a quadratic irrationality (i. e., not a root of a quadratic integer polynomial). Take  $l_n = q_n\alpha + p_n$ ,  $m_n = q_n\alpha^2 + r_n$ , where  $q_n, p_n, r_n \in \mathbb{Z}$ , and assume

$$\lim_{n \rightarrow \infty} \frac{1}{n} \ln |q_n| = \sigma, \quad \max \left\{ \limsup_{n \rightarrow \infty} \frac{1}{n} \ln |l_n|, \limsup_{n \rightarrow \infty} \frac{1}{n} \ln |m_n| \right\} \leq -\tau, \quad \sigma, \tau > 0.$$

Then we have

$$\mu_2(\alpha) \leq 1 + \frac{\sigma}{\tau}.$$

Now we can easily formulate our second theorem by applying Lemma 5 to the following sequences:

$$\begin{aligned} L'_n &= R''_{k,n} d_{(b-2a)n} \Delta_1 \frac{d_{bn}}{\Delta} \left( -\frac{\operatorname{Im}(I(x_k))}{\pi} \sqrt{2k+1} \right) = \\ &= R''_{k,n} d_{(b-2a)n} \Delta_1 \frac{d_{bn}}{\Delta} U(x_k) \alpha_k - R''_{k,n} d_{(b-2a)n} \Delta_1 \frac{d_{bn}}{\Delta} V(x_k) \sqrt{2k+1} = P'_n \alpha_k + Q'_n; \\ M'_n &= R''_{k,n} d_{(b-2a)n} \Delta_1 \frac{d_{bn}}{\Delta} (2k+1) \left( 2\operatorname{Re}(I(x_k)) - 2\frac{\operatorname{Im}(I(x_k))}{\pi} \right) = \\ &= R''_{k,n} d_{(b-2a)n} \Delta_1 \frac{d_{bn}}{\Delta} U(x_k) \alpha_k^2 - R''_{k,n} d_{(b-2a)n} \Delta_1 \frac{d_{bn}}{\Delta} (2k+1) W(x_k) = P'_n \alpha_k^2 + R'_n. \end{aligned}$$

By Lemma 2, we have  $P'_n, Q'_n, R'_n \in \mathbb{Z}$ . We can also see that

$$c'_0 = \lim_{n \rightarrow \infty} \frac{1}{n} \ln(R''_{k,n}) = \begin{cases} -\frac{b-4a}{2} \ln m, & \text{if } k = 2m; \\ -\frac{b-4a}{2} \ln k + \frac{3(b-2a)}{2} \ln 2, & \text{if } k = 2m-1. \end{cases}$$

**THEOREM 2.** Assume that  $a, b \in \mathbb{N}$  satisfies  $b > 4a$ ,  $x_k$  is given by (4), the numbers  $M$  and  $M_1$  are defined by Statements 2 and 3, the set  $\Omega$  is defined by (6), and  $M_0$  is defined by (9).

If  $M_1 + c'_0 + M'_0 < 0$ , then we have

$$\mu_2(\alpha_k) \leq 1 - \frac{M + c'_0 + M'_0}{M_1 + c'_0 + M'_0}.$$

**Remark.** In conclusion, let us give the parameter values that have been used to obtain the results presented in the beginning of this article. For each of the given values of  $k$ , the irrationality measure  $\mu(\alpha_k)$  was obtained by taking  $a = 1$ ,  $b = 7$ . Non-quadraticity measures  $\mu_2(\alpha_k)$  have been derived by taking  $a = 2$ ,  $b = 23$  for  $k = 6$  and  $a = 1$ ,  $b = 13$  for  $k = 8, 10, 12$ . Note that we couldn't estimate the quadratic irrationality for odd values of  $k$  because of the high growth rate of the sequences denoted as  $l_n$  and  $m_n$  in Lemma 5.

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